

Identifying Financial Crime through Enhanced Due Diligence on Anti – Money Laundering

Date: 12 – 13 November 2018 (2 days)
Time: 09:00 – 17:00
Place: Hotel Grand, Tiranë
Instructor: UK Experts plus local FIU expertise

Introduction

The financial services sector has made a big effort to deal with financial crime. But the problem continues to grow. In 2012, HSBC was fined \$1.9bn for laundering \$881m of drug trafficking money for the Mexican Sinaloa drug cartel.

But the new benchmark of 200 billion Euros in questionable flows, set from a Danske bank, the largest bank in Denmark, puts other money laundering scandals in the shade. If even a fraction of this amount turns out to be actual money laundering, analysts have predicted a global fine of as much as \$8bn for Danske if wrongdoing is proven.

Therefore, professionals working in money laundering prevention already understand the benefits of training in this area. Each member of staff, regardless of position, has a role to fulfill in safeguarding the reputation of their firm and minimizing the threat. So it is vital that all be fully trained with the necessary skills and knowledge.

Focusing on the subjects of financial, FOREX and payment services/money remittance, this workshop shall highlight and cascade information on key subject areas that are relevant to, and affect all countries. Managed by seasoned UK experts and support by local experts of Financial Intelligence Unit, the workshop will be based on discussion of case studies.

WHO SHOULD ATTEND THIS COURSE

- Bank AML professionals (all levels);
- Bank Compliance professionals;
- Bank internal auditors;
- Risk Managers;

WHAT IS COVERED

- The demand for international money remittance
- Who needs and uses FX, money remittance services
- Types of FX and Money Remitters
- The definition of money laundering and terrorist financing and their relation to the general financial crime
- Safeguards and prevention against terrorist finance
- The risk-based approach
- SARs (suspicious activity reports)
- KYC and DD, enhanced DD
- Peps ▪ Charities ▪ Sanctions
- Anti-bribery and corruption
- Tipping off
- IT Systems & Technology
- Watchdog / Regulators - who they are, what they do
- Escalations and remediation.
- The role of MLRO – Money Laundering Reporting Officer
- The role of internal auditors, compliance officers and risk managers
- Sections on customer due diligence and KYC and sanctions (with a special emphasis on identification and verification routines for the digital marketplace)
- Other subjects according to the requirements of the attendees¹

¹ To be brought at the attention of the training organizers as soon as possible

DAY 1

9:00 - 10:30

- Introduction
- Key concepts
- KYC, Due Diligence and Enhanced Due Diligence
- The role of Money Laundering Reporting Officer (MLRO)

10:30 – 11:00 Coffee break

11:00 – 12:30

- Identifying The Ultimate Beneficiary Owner
 - o Many complex companies ownerships
 - o Different schemes that are used
 - o Presentation of real cases
 - o Controls that should be in place
- Group exercises

12:30 - 13:30 LUNCH

13:30 – 15:00

- Money laundering through forex exchange
 - o Types of FX, who needs and uses FX
 - o Different schemes that are used
 - o Presentation of real cases
 - o Controls that should be in place
- Group exercises

15:00 – 15:30 Coffee break

15:30 - 17:00

- Money laundering through wire transfers
 - o How funds are paid out to beneficiaries
 - o Different schemes that are used
 - o Presentation of real cases
 - o Controls that should be in place
- Group exercises

DAY 2

9:00 - 10:30

- Complex transactions avoiding controls
 - o Different schemes that are used
 - o Presentation of real cases
 - o Controls that should be in place
- Group exercises

10:30 – 11:00 Coffee break

11:00 – 12:30

- Online transactions
 - o Different schemes that are used
 - o Presentation of real cases
 - o Controls that should be in place
- Group exercises

12:30 - 13:30 LUNCH

13:30 – 15:00

- o Session managed by local experts
- o Presentation and discussion of real cases encountered by Albanian Financial Intelligence Unit
- o How to enforce your compliance with the local regulations
- o QA session

15:00 – 15:30 Coffee break

15:30 - 17:00

- o Presentation and discussion of real cases encountered by Albanian Financial Intelligence Unit
- o Concluding remarks

INSTRUCTORS

SIMON DILLOWAY – INTERNATIONAL AML CONSULTANT

Simon is a senior member of the UK's Security Institute.

A decorated ex-Scotland Yard Police Officer, Simon has made TV appearances on BBC and Channel 4 to advice on security issues. His career highlight was to heading the London 7/7 bombings investigation and making a key contribution towards the Police report produced for the UK Home Office.

In 2012, Simon worked on the United Nations consulting panel for Libya and was tasked to re-write the AML Guidebooks for the State of Vietnam.

Regarded as one of UK's leading AML and counter terrorism experts, Simon often travels internationally to consult firms and deliver expert Compliance training and presentations.

MUNIR AHMED KHAN – COMPLIANCE DIRECTOR

Munir is an ex Corporate Banker and has worked in senior management positions for Blue Chip firms such as Barclays Commercial, RBS/Natwest, Islamic Bank of Britain and ICICI Bank. With extensive experience in Compliance, Anti Money Laundering & Counter Terrorist Finance training, he has supported a number of UK international money transfer firms imbed firm compliance integration and work towards international standardization.

He has specialized in implementing risk mitigation programs and helping firms become directly authorized by the UK's FCA.

LOCAL EXPERT FROM ALBANIAN FINANCIAL INTELLIGENCE UNIT

TË DHËNA TË TJERA

Pjesëmarrja: **Anëtarë të AIIA 310 € / Jo anëtarë 350 €**

Në shumën e mësipërme përfshihen pjesëmarrja në kurs, materiale didaktike, dreka për ditët e pjesëmarrjes si dhe kafja në pushimet ndërmjetëse.

Pagesa* mund të bëhet me transfertë bankare, ose me depozitë direkte duke përdorur të dhënat e mëposhtme:

Mbajtësi i llogarisë: **Albanian Institute of Internal Auditors**
Nr.llog: **0010039700**
Swift: **SGSBALTX**
IBAN: **AL43 2021 1123 0000 0000 1003 9700**
Raiffeisen Bank Albania

Contact details: info@aia.al

** E rëndësishme: Shuma e transferuar duhet të përfshijë të gjithë shumën siç është përshkruar më lart. Pagesa administrative (si psh. tarifa për kryerjen e trasfertës bankare) apo të ngjashme nuk janë pjesë e detyrimit ndaj Albanian Institute of Internal Auditors.*

Formular Pjesëmarrjeje

për

Identifying Financial Crime through enhanced Due Dilligence on Anti – Money Laundering

12 – 13 Nentor 2017, Hotel Grand, Tiranë,.

Emri Mbiemri			
Pozicioni i punës			
Emri i institucionit		Fiskal nr	
Tel/Mob		Email	
Adresa			

Politika e anulimit të pjesëmarrjes:

Vendet në kurs janë të kufizuara ndaj AIIA ka një politikë mbi anulimin e pjesëmarrjes në këto kurse.

1. Në rast të anulimit të kursit nga AIIA apo nga një bashkëpunëtor i AIIA, ne do të lajmërojmë në kohë pjesëmarrësit 10 ditë para ngjarjes së kursit, edhe pse mund të ketë raste të rralla që kjo mund të mos jetë e mundur. Të gjitha tarifat e kursit do të rishpërblehen në tërësi, por jo pagesa të lidhura me çështje llogjistike si psh strehimi, udhëtimi, etj.
2. Nuk do ketë rikthim të shumës së paguar nëse
 - a. Pjesëmarrësi lajmëron për jo-pjesëmarrje në më pak se dy javë para trajnimit, përveç në raste të veçanta dhe në liri veprimi të Albanian Institute of Internal Auditors.
 - b. Pjesëmarrësi nuk paraqitet në trajnim.
3. Për prenotime të anuluar në më shumë se dy javë përpara ditës së parë të trajnimit, AIIA do të kthejë prapa 100% të shumës tek pjesëmarrësi.

- Konfirmoj që të dhënat e mia janë të vërteta dhe të sakta.
- Konfirmoj që lexova, kuptova programin e trajnimit dhe pranoj me dëshirën time të marr pjesë në këtë trajnim sipas kushteve të paraqitura.